

01/14/02  
C951 U.S. PTO

01-17-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. ANTE-101.1

First Inventor Barry E. MAPEN

Title Opto-Electronic Video Compression System

Express Mail Label No. E1320709463US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 18]  
*(preferred arrangement set forth below)*  
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 17 ]
5. Oath or Declaration [ Total Pages 4 ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i.  CD-ROM or CD-R (2 copies); or
- ii.  paper
- c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: /

Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	1339109		<input type="checkbox"/> or	<input type="checkbox"/> Correspondence address below
Name				
Address				
City	State		Zip Code	
Country	Telephone		Fax	

Name (Print/Type)	James W. JAKOBSEN	Registration No. (Attorney/Agent)	38,505
Signature			Date 01/14/2002

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01/14/02

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

740.00

## Complete if Known

Application Number	TBD
Filing Date	01/14/2002
First Named Inventor	Barry E. MAPEN
Examiner Name	TBD
Group Art Unit	TBD
Attorney Docket No.	ANTE-101.1

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	[Redacted]
Deposit Account Name	[Redacted]

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
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101	740	201	370 Utility filing fee
106	330	206	165 Design filing fee
107	510	207	255 Plant filing fee
108	740	208	370 Reissue filing fee
114	160	214	80 Provisional filing fee

Fee Paid

740.00
[Redacted]
[Redacted]
[Redacted]
[Redacted]

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = [Redacted]	X [Redacted] = [Redacted]	[Redacted]
Multiple Dependent	-3** = [Redacted]	X [Redacted] = [Redacted]	[Redacted]

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
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103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	[Redacted]
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	[Redacted]
139	130	139 130 Non-English specification	[Redacted]
147	2,520	147 2,520 For filing a request for ex parte reexamination	[Redacted]
112	920*	112 920* Requesting publication of SIR prior to Examiner action	[Redacted]
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	[Redacted]
115	110	215 55 Extension for reply within first month	[Redacted]
116	400	216 200 Extension for reply within second month	[Redacted]
117	920	217 460 Extension for reply within third month	[Redacted]
118	1,440	218 720 Extension for reply within fourth month	[Redacted]
128	1,960	228 980 Extension for reply within fifth month	[Redacted]
119	320	219 160 Notice of Appeal	[Redacted]
120	320	220 160 Filing a brief in support of an appeal	[Redacted]
121	280	221 140 Request for oral hearing	[Redacted]
138	1,510	138 1,510 Petition to institute a public use proceeding	[Redacted]
140	110	240 55 Petition to revive - unavoidable	[Redacted]
141	1,280	241 640 Petition to revive - unintentional	[Redacted]
142	1,280	242 640 Utility issue fee (or reissue)	[Redacted]
143	460	243 230 Design issue fee	[Redacted]
144	620	244 310 Plant issue fee	[Redacted]
122	130	122 130 Petitions to the Commissioner	[Redacted]
123	50	123 50 Processing fee under 37 CFR 1.17(q)	[Redacted]
126	180	126 180 Submission of Information Disclosure Stmt	[Redacted]
581	40	581 40 Recording each patent assignment per property (times number of properties)	[Redacted]
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	[Redacted]
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	[Redacted]
179	740	279 370 Request for Continued Examination (RCE)	[Redacted]
169	900	169 900 Request for expedited examination of a design application	[Redacted]
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ 0.00)			

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	James W. JAKOBSEN	Registration No. (Attorney/Agent)	38,505	Telephone	203-965-8271
Signature	[Signature]			Date	01/14/2002

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